



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

First Named
Inventor : Peter J. Stromquist

Appln. No.: 10/823,145

Filed : April 13, 2004

For : EXTRACTION, TRANSFORMATION
AND LOADING DESIGNER MODULE
OF A COMPUTERIZED FINANCIAL
SYSTEM

Group Art Unit: 3621

Examiner:

Docket No.: M61.12-0628

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

I HEREBY CERTIFY THAT THIS PAPER IS
BEING SENT BY U.S. MAIL, FIRST CLASS,
TO THE COMMISSIONER FOR PATENTS,
P.O. BOX 1450, ALEXANDRIA, VA 22313-
1450, THIS

30TH DAY OF NOVEMBER, 2006.


PATENT ATTORNEY

The patents or publications listed on the enclosed PTO Form-1449 are submitted pursuant to 37 C.F.R. § 1.97. Copies of the patents or publications cited are enclosed, except as waived by the Official Gazette notice of August 5, 2003 regarding copies of US Patents and Publications.

TIME OF FILING

An information disclosure statement is being filed by the applicant within any one of the following time periods:

1. X 1. Within three month of the filing date of a
national application other that a Continued
Prosecution Application (CPA);
2. Within three months of the date of entry of the National Stage international
application;
3. Before the mailing date of a first Office Action on the merits, or
4. Before the mailing of a first Office Action after the filing of a Request for
Continue Examination (RCE).

2. ☐ after the time period specified in paragraph 1 above, but before the mailing date of a final action under 37 C.F.R. § 1.113 or notice of allowance under 37 C.F.R. § 1.311.

Therefore, in accordance with 37 C.F.R. § 1.97(c), submitted herewith is:

(check either A or B below)

- A. ☐ a statement as specified in 37 C.F.R. § 1.97(e).
B. ☐ the fee set forth in 37 C.F.R. § 1.17(p) for submission of an information disclosure statement under 37 C.F.R. § 1.97(c).

3. ☐ after the mailing date of either a final action under 37 C.F.R. § 1.113 or a notice of allowance under 37 C.F.R. § 1.311, whichever occurs first, but before payment of the issue fee. Therefore, Applicant petitions for consideration and submits herewith:

- A. ☐ a statement as specified in 37 C.F.R. § 1.97(e);
B. ☐ the petition fee set forth in 37 C.F.R. § 1.17(p).

STATEMENT

(only used if No. 2(A) or No. 3 above is checked)

The person(s) signing below certify

(check appropriate paragraph)

- ☐ that each item of information contained in this Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement. 37 C.F.R. § 1.97(e)(1).

OR

- ☐ that no item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the person signing the certification after making reasonable inquiry, was known to any individual designated in 37 C.F.R. § 1.56(c) more than three months prior to the filing of this statement. 37 C.F.R. § 1.97(e)(2).

METHOD OF PAYMENT

- ☒ No fee is required.
☐ Attached is a check in the amount of \$___.

The Director is authorized to charge any fee deficiency required by this paper or credit any overpayment to Deposit Account No. 23-1123.

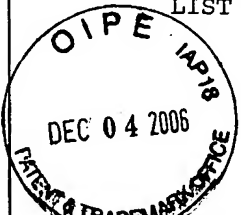
Respectfully submitted,

WESTMAN, CHAMPLIN & KELLY, P.A.

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BDK/elk

FORM PTO-1449		Atty. Docket No.: M61.12-0628	Appl. No.: 10/823,145
 <p>LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT</p>		First Named Inventor:	
		Peter J. Stromquist	
		Filing Date	Group Art:
		April 13, 2004	3621

U.S. PATENT DOCUMENTS

Examiner Initial	Document No.	Date	Name	Class	Sub Class	Filing Date If Appropriate
AA	6,631,382	10-2003	Kouchi et al.	707	102	
AB	2003/0208460	11-2003	Srikant et al.	707	1	
AC	6,668,253	12-2003	Thompson et al.	707	10	
AD	2004/0059651	03-2004	MaGuire et al.	705	030	
AE	2004/0064331	04-2004	Mayer et al.	705	001	
AF	2005/0144554	06-2005	Salmon et al.	715	501.1	
AG						
AH						
AI						
AJ						
AK						

FOREIGN PATENT DOCUMENTS

	Document No.	Date	Country	Class	Sub Class	Translation Yes No
AL						
AM						
AN						

OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

AO	
AP	
AQ	

EXAMINER:

DATE CONSIDERED:

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.